IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takashi NOZAKI, et al.

Serial Number: Not Yet Assigned

Filed: September 12, 2003

For: SETTLEMENT PROCESSING APPARATUS, SYSTEM, METHOD, AND

PROGRAM THEREOF, AND RECORDING MEDIUM FOR RECORDING THE

PROGRAM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

September 12, 2003

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark

Office the reference listed on the attached PTO-1449.

A copy of the reference is enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Mel R. Quintos Attorney for Applicants Reg. No. 31,898

MRQ/jaz Atty. Docket No. 031117 Suite 1000 1725 K Street, N.W. Washington, D.C. 20006

wasnington, D.C. 20006

(202) 659-2930

23850

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (1)

INFORMATION			Atty. Docket No. 031117				Serial No. New Application			
DISCLOSURE STATEMENT		Applicant(s): Takashi NOZAKI, et al.								
PTO-1449			Filing Date: September 12, 2003				Group Art Unit: Not Yet Assigned			
				U.S. PATI	ENT DOCUN	1ENT	rs_			
Examiner Initial				Name	Date		Class	Subclass	Filing Date (If appropriate)	
	AA									
	AB									
	AC									
	AD									
			FC	DREIGN PA	ATENT DOC	UMI	ENTS			
Docur			nent No.	Date	Country		Translation Yes or No)			
	AE	2001-3	357337	12/26/01	Japan	Yes	s-Abstract/Di	scussed in th	ne specification	
	AF									
	AG	-								
	AH									
L	AI		•							
OTHER DOCUMENTS										
	AJ									
	AK									

Date Considered

Examiner